A Palaeogene perspective on climate sensitivity and methane hydrate instability

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The Palaeocene–Eocene thermal maximum (PETM), a rapid global warming event and carbon-cycle perturbation of the early Palaeogene, provides a unique test of climate and carbon-cycle models as well as our understanding of sedimentary methane hydrate stability, albeit under conditions very different from the modern. The principal expression of the PETM in the geological record is a large and rapid negative excursion in the carbon isotopic composition of carbonates and organic matter from both marine and terrestrial environments. Palaeotemperature proxy data from across the PETM indicate a coincident increase in global surface temperatures of approximately 5–6°C. Reliable estimates of atmospheric CO₂ changes and global warming through past transient climate events can provide an important test of the climate sensitivities reproduced by state-of-the-art atmosphere–ocean general circulation models. Here, we synthesize the available carbon-cycle model estimates of the magnitude of the carbon input to the ocean–atmosphere–biosphere system, and the consequent atmospheric pCO₂ perturbation, through the PETM. We also review the theoretical mass balance arguments and available sedimentary evidence for the role of massive methane hydrate dissociation in this event. The plausible range of carbon mass input, approximately 4000–7000 PgC, strongly suggests a major alternative source of carbon in addition to any contribution from methane hydrates. We find that the potential range of PETM atmospheric pCO₂ increase, combined with proxy estimates of the PETM temperature anomaly, does not necessarily imply climate sensitivities beyond the range of state-of-the-art climate models.

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The Palaeocene/Eocene thermal maximum (‘PETM’; approx. 55.5Ma) is the most prominent transient global warming event recorded in the Cenozoic geological record. PETM palaeotemperature proxy data from some localities indicate a rapid surface ocean warming of approximately 5–9°C within 1–10 kyr (Thomas et al. 2002; Sluijs et al. 2007). Near synchronous with this warming are prominent negative carbon isotopic excursions (CIEs) recorded in both marine carbonates (−3.5 to −4‰; Zachos et al. 2007) and terrestrial carbon (−5 to −6‰; Bowen et al. 2004), indicative of a massive release of isotopically light carbon to the ocean–atmosphere system (Dickens et al. 1995). The PETM offers a unique opportunity to investigate the effects of an anthropogenic-scale (thousands of gigatons) release of greenhouse gases on the Earth’s climate, ocean–atmosphere chemistry and biota, although with a release rate at least an order of magnitude slower than that achieved by the modern combustion of fossil fuels and deforestation (Zeebe et al. 2009). There were also major differences in the background climate state of the early Palaeogene that preclude a direct comparison with the modern system. These include significantly higher surface- and deep-ocean temperatures, the absence of polar ice sheets (Zachos et al. 2008), as well as a distinct palaeogeography, ocean chemistry and biosphere (see Kump et al. (2009) for a review).

Despite these caveats, the PETM is a pertinent geological analogue for future global change and can be used to test the behaviour of climate models—such as their simulation of global climate sensitivity—under conditions of extreme warming (Zeebe et al. 2009). To assess (past) climate sensitivity—which is generally defined as the equilibrium surface warming in response to a doubling of CO₂ (and/or the equivalent radiative increase from a different greenhouse gas)—both atmospheric CO₂ and the corresponding warming response must be known. In the case of the PETM, there remains considerable uncertainty over (i) the source and magnitude of carbon release, (ii) the size of the PETM atmospheric CO₂ perturbation, and (iii) the magnitude and spatial pattern of the associated climate response. The potential involvement of a massive destabilization of methane gas hydrates, driven by a progressive warming of oceanic intermediate waters (Dickens et al. 1995), also has a particular relevance within a discussion of the climatic forcing of major geohazards and is a further point of considerable current uncertainty regarding our understanding of the PETM. This paper thus critically reviews two aspects of PETM research that have a particular relevance to modern climate change and the associated climate-forced geohazards: first, the evidence for a global-scale release of methane hydrate at the PETM and, second, the magnitude of global climate sensitivity that can be inferred from the PETM climate–carbon-cycle perturbation.

The involvement of methane hydrates within the PETM climate–carbon-cycle perturbation was first proposed—based on mass-balance arguments—to explain the magnitude of the observed PETM CIE (Dickens et al. 1995). Subsequently, some seismic and sedimentological evidence has been published indicating large-scale slope failure along the western margin of the Atlantic basin close to the Palaeocene–Eocene boundary, which may have been associated with the catastrophic dissociation of methane hydrate (Katz et al. 1999, 2001). We review these arguments in the light of more recent estimates of the PETM CIE and...
the size of the PETM carbon input. The second part of this paper, concerning the quantification of PETM climate sensitivity, reviews the available estimates of both the atmospheric CO$_2$ concentration (partial pressure) ($p$CO$_2$) perturbation and global average surface warming across the event. At present, there is no reliable proxy estimate of $p$CO$_2$ change across the PETM. Instead, quantification of the $p$CO$_2$ increase ($\Delta p$CO$_2$) depends on carbon-cycle model estimates of the size of the PETM carbon pulse and the time-dependent partitioning of CO$_2$ between the atmosphere, ocean and, eventually, carbonate sediments. These model estimates, in turn, rely upon observations from the geological record of the intensity and extent of carbonate dissolution across the PETM as well as the magnitude of the CIE. There are multiple assumptions made throughout this process, as well as inherent variation in the response of different carbon-cycle models. In addition, although direct proxy data are available for the extent of PETM surface warming at multiple locations, the use of these data as an estimate of global surface warming requires care (Dunkley Jones et al. in preparation). All of these uncertainties need to be kept in mind when any estimate of PETM climate sensitivity is proposed. This paper draws on an ongoing integrated climate and Earth-system modelling project—the ‘Dynamics of the Palaeocene Eocene Thermal Maximum’, part of the Natural Environmental Research Council’s (NERC) Quantifying and Understanding Earth Systems through Modelling (QUEST) research programme—that uses a multi-model approach to quantify and reduce the existing uncertainties in the PETM climate and carbon-cycle perturbation.

2. The PETM and methane hydrates

The magnitude and rapidity of the PETM CIE is a critical constraint on the mass and source of carbon injected into the exogenic carbon reservoir during the PETM. With reliable estimates of the mean size of the PETM CIE in the exogenic carbon reservoir, the total mass of this reservoir and an independent estimate of the mass of carbon input, it would be possible to determine the carbon isotopic composition, and hence nature, of the injected carbon using a relatively simple mass balance. Unfortunately, there remains some uncertainty in the magnitude of the PETM CIE, with significant variations in the size of $\delta^{13}$C excursions recorded in different substrates and across marine/terrestrial environments, and as yet no consistent estimate of the mass of carbon input. To the first order, there appear to be three distinct and generally consistent sets of CIE records: (i) those from deep-sea benthic foraminifera, which should record the $\delta^{13}$C evolution of deep-ocean dissolved inorganic carbon (DIC), (ii) those from well-preserved planktonic foraminifera, recording the $\delta^{13}$C of surface ocean DIC, and (iii) terrestrial records, largely controlled by the $\delta^{13}$C of terrestrial vegetation and hence recording the $\delta^{13}$C evolution of atmospheric CO$_2$. The critical question is which of these records most accurately records the pattern and magnitude of the global CIE.

Benthic foraminiferal $\delta^{13}$C records from deep-ocean cores typically indicate a CIE of the order of $-2$ to $-3\%$$_{\text{oo}}$ (Nunes & Norris 2006). The magnitude of the CIE in these pelagic successions is, however, clearly influenced by the extent of carbonate dissolution and the ecological exclusion (through extinction) of calcareous benthic foraminifera across the onset to peak-PETM (Thomas 2007;
These two effects will both tend to cause the loss of peak negative δ^{13}C values to an extent that is difficult to assess at any single location. Across the Walvis Ridge depth transect, however, there is a clear relation between palaeodepth, and hence dissolution intensity, and CIE magnitude: the CIE recorded in benthic foraminifera declines from −3.5 to −1.5‰ between sites with palaeodepths of approximately 1500 and 3600 m (McCarren et al. 2008). This effect partly accounts for the discrepancy between early estimates of the CIE of the order of −2 to −3‰ (Dickens et al. 1995) and more recent estimates of −4 to −6‰ (Pagani et al. 2006; Zachos et al. 2007). To overcome the problem of deep-ocean carbonate dissolution, attention has recently turned to the PETM records from shelf environments. The high sedimentation rates and shallow water depths at these locations combine to provide higher resolution records, particularly of the onset of the PETM, which are less impacted by carbonate dissolution. The planktonic foraminiferal (i.e. surface ocean DIC) δ^{13}C records of the PETM in these locations indicate a CIE of approximately −4‰ (Zachos et al. 2006; Handley et al. 2008; John et al. 2008), a magnitude that is consistent with the largest excursion values recorded in planktonic foraminifera from pelagic sections, such as ODP Site 690, with minimal dissolution (Thomas et al. 2002; Zachos et al. 2007).

Although the magnitude of the CIE recorded in carbonates from the most complete and well-preserved marine sections appears to be converging on approximately −4‰, there remains a persistent discrepancy between this value and the CIE magnitude of approximately −6‰ recorded across both organic and inorganic carbon phases from the terrestrial biosphere (Bowen et al. 2004; Pagani et al. 2006; Handley et al. 2008). A range of perturbations in the terrestrial environment have been proposed as mechanisms for CIE amplification on land, including changes in soil cycling rates, relative humidity and vegetation (Bowen et al. 2004). None of these has yet provided a satisfactory solution to the marine–terrestrial CIE discrepancy. It should be noted that invoking large (approx. 20%) increases in relative humidity as a comprehensive (i.e. global) explanation of the terrestrial CIE amplification (Bowen et al. 2004) is inconsistent with both the hydrological response of global climate models (Pagani et al. 2006) and much palaeohydrological proxy data (Wing et al. 2005; Kraus & Riggins 2007). Given the continuing uncertainty surrounding the controls on the terrestrial CIE, the emerging consensus on the size of the CIE in surface ocean DIC and the dominance of the oceanic carbon pool relative to the terrestrial biosphere and atmospheric carbon (figure 1), we make the conservative assumption that the global exogenic carbon reservoir CIE is of the order of −4‰.

Carbon exchanges rapidly between the Earth’s ocean, atmosphere and biomass reservoirs, which on 10^4 year time scales can be considered as a single reservoir with a mean carbon isotopic composition driven by the rate of external inputs or removal of carbon (Dickens 2003). Some deep-ocean records suggest a stratigraphic lag between the onset of the CIE recorded in mixed layer dwelling foraminifera and the onset in deeper dwelling thermocline species (Thomas et al. 2002; Zachos et al. 2007). The approximately 5 kyr duration of this inferred top-down propagation of the δ^{13}C signal from the surface ocean to the thermocline is, however, contrary to current understanding of ocean physics and circulation, even allowing for enhanced warming-induced stratification. In particular, the recorded δ^{13}C transition at both surface and thermocline depths is abrupt.
with no evidence of intermediate values (Thomas et al. 2002), whereas the signal expected from a protracted propagation of the CIE anomaly by microscale mixing and diffusion should be significantly ‘smeared’. Thus, the existence of a secondary species and/or habitat-specific control on the recorded $\delta^{13}C$ patterns seems a more probable explanation, although one which is yet to be understood. Notwithstanding that possibility, there is widespread evidence that the light carbon released at the onset of the PETM was relatively rapidly cycled throughout the whole ocean–atmosphere–biosphere system, with dramatic falls in carbon isotope values recorded across terrestrial, surface-ocean and deep-marine environments within approximately 10 kyr (Bowen et al. 2004; Nunes & Norris 2006; Zachos et al. 2007).

The size of the PETM CIE thus provides a critical constraint on the source of carbon entering the exogenic reservoir (Dickens et al. 1995). Any given $\delta^{13}C$ excursion in the total exogenic carbon reservoir can be simply related to the quantity of mass input and $\delta^{13}C$ signature of the external carbon source,

$$(M_T + M_R)(\delta^{13}C_T') \approx (M_R)(\delta^{13}C_R) + (M_T)(\delta^{13}C_T), \quad (2.1)$$

where $M_T$ and $M_R$ are the masses of carbon in the exogenic reservoir prior to input and the mass of the carbon input; and $\delta^{13}C_R$, $\delta^{13}C_T$, $\delta^{13}C_T'$ are the carbon isotopic compositions of the carbon input and the exogenic carbon reservoir before and after mass input. Taking into account the large mass of the total exogenic carbon reservoir, to produce a $-4.0\%$ PETM $\delta^{13}C$ excursion within approximately 10 kyr, the fluxes from any of the conventional long-term (background) carbon sources, such as volcanic activity, weathering or riverine input, would have to increase at a rate that is geologically unfeasible; for example, a 100-fold increase in the rate of volcanic out-gassing (Dickens et al. 1995).

Figure 1. Schematic model of the major exogenic carbon reservoirs within the modern Earth system (after Ridgwell & Edwards 2007).
The carbon mass input required to produce a $-4\%$ CIE within an exogenic carbon reservoir of 38,000 PgC, with carbon sources of varying isotopic composition. Assumes that the mean isotopic composition of the ocean and atmosphere prior to carbon input is $0\%$; estimated isotopic values of major sources based upon Maslin & Thomas (2003).

Today, by the burning of fossil fuels and deforestation (Prentice et al. 2001), and during the glacial–interglacial cycles of the late Neogene (Kohfeld & Ridgwell 2009), carbon isotopic changes in the atmosphere and ocean are driven primarily through the release of isotopically depleted CO$_2$ from organic matter, with $\delta^{13}C$ signatures of approximately $-26\%$ for biospheric carbon (Prentice et al. 2001) and approximately $-28\%$ for fossil fuels (Andres et al. 1993). The total mass of carbon necessary to produce the PETM CIE from such a source is, however, problematic. Using estimates from the modern system to produce a $-2\%$ to $-3\%$ excursion in the ocean–atmosphere carbon reservoir would require the loss of between 75 and 90 per cent of the total organic carbon reservoir (Dickens et al. 1995). To obtain a $-4\%$ magnitude of excursion requires approximately 8000 PgC for a $-22\%$ to $-24\%$ source (figure 2)—a greater loss of organic carbon than exists in the modern carbon cycle. Proposals for the PETM carbon release involving organic matter—the desiccation of shallow epicontinental basins (Higgins & Schrag 2006) and the global-scale combustion of terrestrial peat and coal (Kurtz et al. 2003)—hence invoke a larger reservoir prior to the PETM than exists today. Other potential sources exist for carbon of this approximate isotopic composition, such as that released from the impact of a carbon-rich meteorite (Kent et al. 2003) and, more plausibly, as thermogenic methane released during volcanic activity in the North Atlantic (Svensen et al. 2004).
The difficulties faced in reconciling the isotopic composition of either a volcanic (approx. $-5\%$) or organic matter (approx. $-22\%$ to $-24\%$) carbon source with the magnitude of the PETM CIE imply a significant additional contribution from an extremely $^{13}$C-depleted carbon reservoir. In addition, this reservoir has to be outside of the exchangeable ocean–atmosphere–terrestrial carbon cycle and yet be released into the ocean–atmosphere system on time scales of 1–10 kyr. The leading contender for this source of light carbon remains sediment-hosted methane gas hydrates (Dickens et al. 1995). In the modern system, methane hydrates are stable under relatively high-pressure/low-temperature conditions and are found within sedimentary prisms along many continental margins, typically associated with both free and dissolved methane gas trapped beneath the hydrate layer (Kvenvolden 1993). The methane present in these deposits, sourced from either the microbial fermentation of organic matter or thermal cracking, typically has a $\delta^{13}$C signature of the order of $-60\%$ (Kvenvolden 1993). The pressure–temperature–salinity defined region within a sediment column in which gas hydrates are theoretically stable is termed the gas hydrate stability zone (GHSZ), while the actual occupancy of the GHSZ by methane hydrates is determined by the supply of methane, itself dependent on organic carbon burial rates and local redox and fluid flow conditions (see also the review of methane gas hydrates in Maslin et al. (2010)). Owing to significantly warmer oceanic intermediate and bottom-water temperatures in the Palaeocene, it is estimated that the upper limit of the Palaeocene GHSZ was located in considerably deeper waters (approx. 900 m) than modern systems (approx. 250–500 m) (Dickens 2003). Despite this, a major decrease in pressure, or more probably an increase in temperature at subthermocline to intermediate water depths, and its propagation down through the sediment geotherm, could have triggered the dissociation of significant portions of a Palaeocene gas hydrate reservoir. In the initial presentation of this hypothesis, Dickens et al. (1995) calculated that a $4^\circ$C warming on background latest Palaeocene bottom-water temperatures of $11^\circ$C would have depressed the top of the GHSZ from approximately 900 m to 1400 m water depth with an associated dissociation of 1000–2000 Pg of methane carbon.

Although the transfer pathways for dissociated methane gas from the GHSZ into the exchangeable carbon reservoir are still poorly understood, the two most likely routes are via diffusion into the water column or ebullition into the atmosphere during sediment failure (Kvenvolden 1993; Paull et al. 2003). Once released, this methane would have been rapidly oxidized (<10 years) to carbon dioxide in the oceans (Valentine et al. 2001) and atmosphere (Ehhalt et al. 2001), which in turn would be relatively rapidly exchanged between the surface and deep ocean, the atmosphere and terrestrial biomass. The dissociation of substantial volumes of gas-hydrate-hosted methane over approximately $10^4$ years would have both increased the total mass of the exchangeable carbon reservoir and produced the observed decrease in its mean isotopic composition (Dickens et al. 1995).

A critical part of a warming-driven methane hydrate dissociation hypothesis is that intermediate water warming, as the trigger for hydrate destabilization, should lead the observed CIE. There is now some evidence for a precursor warming, at least in surface waters, immediately prior to the onset of the CIE observed in high-resolution continental shelf records of the North Atlantic (Sluijs et al. 2007). This finding needs to be substantiated by additional records,
particularly from intermediate water depths. One plausible scenario is that this initial warming is itself a response to rapid emissions of CO₂ from the latest Palaeocene explosive volcanism in either the North Atlantic (Storey et al. 2007) or Caribbean (Bralower et al. 1997). Recent climate modelling studies, configured to PETM-like boundary conditions, have also shown a strong, nonlinear warming response of Atlantic intermediate waters to such a CO₂ forcing (Lunt et al. submitted), providing an amplification of warming in a hydrate-critical region. The presence of major submarine mass movement deposits, of Palaeocene–Eocene boundary age, in the North Atlantic (Katz et al. 1999, 2001) adds to the suspicion that massive methane release along Atlantic continental margins could have played a significant role in the PETM event.

3. Magnitude of PETM carbon release

Obtaining a reliable estimate of the total mass input of carbon into the exogenic carbon cycle is critical to understanding the size and rate of carbon fluxes between reservoirs, the magnitude of the perturbation in atmospheric CO₂ and the response of the climate system through the PETM. There are two key constraints on the mass of carbon input at the PETM: the size of the PETM CIE and the degree of sedimentary carbonate dissolution in the deep oceans owing to CO₂-driven carbonate undersaturation. Some theoretical limits on the specific contribution of methane gas hydrates to the PETM event may also be achieved by the predictive modelling of the latest Palaeocene GHSZ and its occupancy by methane hydrates. The massive release of carbon into the ocean/atmosphere system over approximately 10⁴ years, the majority being absorbed by the ocean, would have caused significant reductions in both the pH and carbonate ion concentration of the deep ocean (Broecker et al. 1971, 1993). This in turn would have increased the dissolution of biogenic carbonates (primarily calcareous nannofossil liths and foraminiferal tests) in the surface sediments of the deep ocean,

\[ \text{CO}_2 + \text{H}_2\text{O} + \text{CaCO}_3 = 2\text{HCO}_3^- + \text{Ca}^{2+}. \]

One observable consequence of this is a marked shoaling of both the lysocline and carbonate compensation depth (CCD) across the global oceans (Ridgwell & Zeebe 2005; Kump et al. 2009). The magnitude of this dissolution event observed in the geological record is fundamentally controlled by the mass and the rate of carbon input to the ocean–atmosphere system and, within the constraints imposed by the size of the PETM CIE, can be used to estimate the magnitude of the carbon input. In reality, the extent of carbonate dissolution at any given location is also locally regulated by primary carbonate production rates, local deep-water chemistry and the rate of mixing/ bioturbation at the sediment surface, which all confound a simple solution to this problem (Ridgwell 2007). The reservoir of carbonate in deep-sea sediments available for reaction is also finite (Archer et al. 1997) and the relationship between CaCO₃ reacted (chemically eroded) and CO₂ release becomes increasingly nonlinear at CO₂ releases greater than 4000 PgC (Goodwin & Ridgwell in press), requiring global carbon-cycle models to interpret observations.
To date, there have been three key studies which aim to quantify the magnitude of the PETM carbon pulse using the extent of deep-ocean carbonate dissolution. The first of these is based on detailed weight percent carbonate and $\delta^{13}C$ analyses of deep-ocean sediment cores from five locations along an approximately 2 km depth transect on Walvis Ridge in the South Atlantic (Zachos et al. 2005). These sites effectively provide a vertical profile of carbonate ion concentrations and PETM CCD shoaling through the deep ocean. In addition, the close proximity of all five sites greatly reduces potential biases between these records owing to variations in carbonate export productivity and terrigenous particle flux (Zachos et al. 2005). Based on correlations between these sites and the orbital age model at ODP Site 690, the CCD at Walvis Ridge shoaled by more than 2 km in a few thousand years (Zachos et al. 2005). By a comparison with numerical models of...
anthropogenic-forced ocean acidification and CCD shoaling (Archer et al. 1997), Zachos et al. (2005) suggest that the PETM carbon pulse required to produce such a dramatic CCD change would have been approximately 4500 PgC.

Two subsequent numerical modelling studies used both the Walvis Ridge data and the pattern of carbonate sedimentation across the other major ocean basins, to explicitly simulate the carbon-cycle perturbation through the PETM (Panchuk et al. 2008; Zeebe et al. 2009). The first of these model studies used the Earth-system model GENIE-1 (Grid ENabled Integrated Earth system model), in what was the first pre-quaternary application of a three-dimensional ocean model with full biogeochemical cycling and a spatially resolved coupled sediment model (Panchuk et al. 2008). Configured with an equal area grid of resolution of $36 \times 36$ (comprising $10^\circ$ increments in longitude but uniform in sine of latitude, giving $3.2^\circ$ latitudinal increments at the equator increasing to $19.2^\circ$ in the highest latitude band) and early Eocene bathymetry and palaeogeography (figure 3), GENIE was used to simulate a series of carbon input scenarios representative of the diversity of potential carbon sources. These ranged from purely biogenic methane with an isotopic composition of $-60^\%$ to mantle-derived volcanic CO$_2$ with a $\delta^{13}C$ signature of $-5^\%$. In each case, the carbon mass input was sufficient to instantaneously decrease the isotopic composition of the combined ocean and atmosphere carbon reservoir by $4^\%$ and was added uniformly across atmospheric grid cells over 10 kyr. The explicit production of a synthetic sediment column within the GENIE model, whose composition is a function of model bottom-water chemistry and calcium carbonate/organic carbon export production, allows for a direct comparison with the calcium carbonate content and carbon isotopic composition of available deep-sea PETM sediment cores. The sediment model also includes a representation of bioturbation, the intensity of which exerts a critical control on both the shape and magnitude of the PETM dissolution and carbon isotope signals (Ridgwell 2007). This approach allows a direct comparison between the observed and modelled patterns of global marine carbonate sedimentation for both pre-PETM and PETM intervals (figure 3).

The more recent PETM carbon-cycle modelling of Zeebe et al. (2009) used the LOSCAR (Long-term Ocean–atmosphere Sediment CArbon cycle Reservoir) box model. LOSCAR uses a three-box (surface, intermediate and deep) representation of each of the major ocean basins. Prior to the PETM, deep-water formation was prescribed to occur in the Southern Ocean and bottom-water temperatures for pre- and peak-PETM were set at $12^\circ$C and $16^\circ$C, respectively. As in the study of Panchuk et al. (2008), carbonate dissolution records were used to constrain the mass of PETM carbon input, in this case based upon comparison between modelled and reconstructed PETM CCD shoaling in the major ocean basins. In order to fit the observed pattern of a relatively prolonged interval of PETM dissolution and CIE, the best-fit scenario consisted of an initial rapid (approx. 5 kyr) carbon input of approximately 3000 PgC followed by an extended (approx. 50 kyr) release of 1480 PgC (figure 4). Combined with the authors’ assumed surface ocean CIE of $-3^\%$, this carbon release scenario indicates a source isotopic signature of approximately $50^\%$, which is consistent with a significant contribution from biogenic methane hydrates (Zeebe et al. 2009). It is worth noting that assuming a larger CIE, for example the $-4^\%$ suggested herein, implies an even more $^{13}C$-depleted carbon source, unless the magnitude of carbon release also increases.

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A crucial part of all PETM modelling studies is determining an appropriate initial ‘latest Palaeocene’ pre-PETM climate and ocean chemistry. In the approach of Panchuk et al. (2008), GENIE-1 is initialized with a pre-PETM \( p\text{CO}_2 \) of 750 ppm, which produces modelled deep-ocean temperatures of approximately 7°C. This is warmer than modern but cooler than the approximately 12°C indicated by benthic foraminiferal \( \delta^{18}O \) proxy data (Dunkley Jones et al. in preparation). The other key unknowns in the pre-PETM model configuration are global ocean alkalinity and the particulate inorganic to organic carbon rain ratio (PIC:POC ratio). In the absence of suitable proxy data,
Panchuk et al. (2008) constrain these variables by running an ensemble of pre-PETM simulations across a range of weathering rates (ocean alkalinity) and spatially uniform PIC:POC rain ratios. Using the observed pattern of pre-PETM deep-sea carbonate sedimentation, Panchuk et al. (2008) suggest a pre-PETM CCD of approximately 3500–4000 m in the central equatorial Pacific, Walvis Ridge and Southern Indian Ocean (figure 3). These depths appear to be broadly consistent with the CCD reconstructions of Zeebe et al. (2009) and more importantly, within the limits of the data, reproduce the lysocline depth observed at these three data-rich regions (figure 3). The best-fit model calibration to the observed pattern of carbonate deposition requires a PIC:POC ratio of 0.20, which is somewhat higher than estimates of the modern global average of 0.06–0.14 (Sarmiento et al. 2002; Ridgwell et al. 2007), and a global weathering rate of 35 Pmol HCO$^-_3$ kyr$^{-1}$ to balance the rate of deep-sea calcium carbonate sedimentation. The modelled deep-ocean circulation and associated $\delta^{13}$C gradients are consistent with available pre-PETM benthic foraminiferal $\delta^{13}$C data (Nunes & Norris 2006), with decreasing $\delta^{13}$C from the South to North Atlantic and from the Indian to Pacific Ocean, indicative of a dominant Southern Ocean deep-water source.

In Panchuk et al. (2008), the carbon release scenario that was most consistent with the observed pattern of deep-sea carbonate sedimentation at peak-PETM conditions consists of a pulse of 6800 PgC with bioturbation in the Pacific and a cessation of bioturbation in the Atlantic (Panchuk et al. 2008). The basin-to-basin variation in bioturbation is required to reproduce the differential dissolution between the Atlantic and the Pacific observed during the event. Carbonate accumulation drops to zero during peak-PETM conditions at even the shallowest of the Walvis Ridge sites in the South Atlantic (i.e. CCD <1500 m), which can be compared with the 20–50 wt% carbonate preserved at 2500 m water depth on Shatsky Rise in the central equatorial Pacific (i.e. CCD >2500 m). Again it should be noted that these best-fit scenarios are consistent with the PETM CCD reconstructions of Zeebe et al. (2009), with the only discrepancy being the presence of a small amount of modelled carbonate below their reconstructed CCD in the equatorial Pacific even with a pulse size of 6800 PgC. The absence of bioturbation in the Atlantic increases the intensity of dissolution in surface sediments by removing the resupply of ‘old’ carbonate from deeper in the sediment column, hence reducing the size of carbon pulse required to produce a zero carbonate layer. There is evidence for such a reduction or cessation of bioturbation in the Atlantic, with the preservation of fine laminations in both equatorial and South Atlantic PETM sediment cores (Erbacher et al. 2004; Zachos et al. 2004). In contrast, there is clear evidence for continued sediment mixing through the onset of the PETM at shallower sites on Shatsky Rise and Alison Guyot in the Pacific, as well as in the Southern Ocean (Kelly et al. 1998; Bralower et al. 2002; Thomas et al. 2002).

An alternative cause of enhanced dissolution in the Atlantic is an altered deep-ocean circulation, with a major reduction in Southern Ocean deep-water formation and its subsequent ventilation of the South Atlantic (Zeebe & Zachos 2007). During the carbon release experiments of Panchuk et al. (2008), the ocean circulation in GENIE does not respond to warming by a reversal or weakening in the Southern Ocean deep-water source. This is consistent with previous ocean modelling studies that only induced a reversed circulation through an explicit
modification of the hydrological cycle and an altered North Atlantic bathymetry (Bice & Marotzke 2002). More recent fully coupled atmosphere–ocean general circulation models (AOGCMs) have, however, reproduced a significant slow down in Southern Ocean deep-water formation in response to greenhouse gas-forced warming alone (Lunt et al. submitted). This slowdown is more consistent with the available proxy data (Nunes & Norris 2006) and may help to explain some of the basin-to-basin dissolution variability (Zeebe & Zachos 2007). Any circulation change does not, however, preclude the importance of changes in bioturbation intensity. Indeed, these two effects may represent a coherent change in environmental conditions, with a slowdown in South Atlantic deep-ocean circulation causing a reduction in bottom-water ventilation, dysoxia and the cessation of in situ biotic activity.

Although both of these modelling studies indicate a PETM carbon input at least double the initial estimates of 1500–2500 PgC, which were based purely on the CIE and an assumed methane hydrate source (Dickens et al. 1995), there are some discrepancies between both the model approaches and their final estimates of carbon release. As Zeebe et al. (2009) point out, if a pre-PETM model configuration has an unrealistically deep CCD, this increases the mass of carbonate material available for dissolution at the ocean floor during the PETM acidification event. This in turn will produce a model overestimate of the mass of carbon input required to reproduce the observed carbonate dissolution during the PETM itself—effectively it makes the available oceanic carbonate buffer too large. Although this is an implied criticism of the GENIE model configuration of Panchuk et al. (2008), and their proposed pulse size of 6800 PgC, there is no apparent mismatch between their modelled pattern of carbonate sedimentation, lysocline and CCD and the available observations (figure 3). As noted above, however, the PIC:POC ratios and bicarbonate weathering fluxes required in the pre-PETM GENIE calibration are higher than the modern and there may be scope for further tuning of the non-carbonate detrital flux rates (currently a globally uniform value of 0.18 g cm\(^{-2}\) ky\(^{-1}\)) to lower total ocean alkalinity and yet retain a similar pattern of observed wt\% carbonate sedimentation. There is, however, at least a similar uncertainty involved in the production and use of the CCD reconstructions of Zeebe et al. (2009) as a tuning target for modelled pre- and PETM ocean alkalinity. The accurate reconstruction of the CCD, and subsequent inferring of deep-ocean carbonate chemistry, is not straightforward even from modern core-top sediments (Broecker 2008). One advantage of the synthetic sediment cores produced by GENIE is that the model response is translated into a spatially resolved pattern of sediment compositions that can be directly compared with the carbonate content of actual sediment cores. As noted above, this allows detailed model-data comparisons of the depth-dependent pattern of carbonate sedimentation, and hence changes in both the lysocline and CCD, in data-rich regions of the ocean (figure 3).

After the discrepancy in total carbon input (6800 PgC of Panchuk et al. (2008) versus the 4480 PgC of Zeebe et al. (2009)), the second principal difference in the models concerns the cause of the observed pattern of variation in dissolution intensity between the Atlantic and Pacific basins (Zeebe & Zachos 2007). This differential is not reproducible in the models without some secondary control on the pattern of carbonate dissolution. GENIE runs with and without bioturbation globally suggest that this might be achieved by a cessation of bioturbation at
peak-PETM conditions in the Atlantic while continuing to allow Pacific sediments to be actively mixed (Panchuk et al. 2008), although this scenario has not yet been explicitly tested. In LOSCAR, this differential dissolution pattern was achieved by prescribing a weakening of Southern Ocean deep-water formation and an increase in the formation of North Pacific Deep Water during the PETM (Zeebe et al. 2009). As discussed above, these two mechanisms have some support from available sediment core and proxy data, and are probably both important aspects of the PETM perturbation.

4. PETM climate sensitivity

One of the critical conclusions made at the end of the modelling study of Zeebe et al. (2009) was that a carbon input of 4480 PgC, together with the associated model rise in atmospheric $p$CO$_2$ from 1000 to 1700 ppmv (figure 4), implied a climate sensitivity for the PETM considerably above the range of values produced by the IPCC climate model ensemble for the modern climate system. This conclusion relies upon two pieces of information—first their modelled change in atmospheric $p$CO$_2$ and second their estimate of PETM surface warming of between $5^\circ$C and $9^\circ$C (Zeebe et al. 2009). In the absence of any reliable proxy data for either the absolute levels or relative changes in atmospheric $p$CO$_2$ through the PETM, the only means of assessing the behaviour of the Earth system is through the intercomparison of PETM modelling studies. Within the LOSCAR model runs of Zeebe et al. (2009), a set of sensitivity studies indicate that, for a given carbon pulse, the relative increase in $p$CO$_2$ through the PETM is relatively insensitive to baseline pre-PETM atmospheric $p$CO$_2$ (within the range 500–1500 μatm). This suggests that the carbon pulse size is the critical factor controlling the relative $p$CO$_2$ increase. It is, however, difficult to make a direct comparison between the results of GENIE and LOSCAR because not only does the initial atmospheric $p$CO$_2$ (and state of global carbon cycling) differ, but so too do the tested scenarios for CO$_2$ release, both in magnitude and in duration (figure 4).

By assessing and contrasting multiple model studies (here, Panchuk et al. (2008) and Zeebe et al. (2009)), and with the addition of future carbon-cycle model results, we stand to gain a better understanding of the range of possible $p$CO$_2$ variations across the PETM. These carbon-cycle model estimates of PETM $p$CO$_2$ can then be compared against climate model simulations and the available palaeotemperature data to reduce uncertainty and target future proxy data collection and model refinements. In this vein, it is worth comparing the modelled $p$CO$_2$ changes against a suite of early Eocene configurations (0.4% reduction in solar constant compared with modern; early Eocene topography and bathymetry; ice-free land surface; uniform vegetation) of HadCM3L, one of the UK Met Office’s fully coupled AOGCMs (Lunt et al. submitted). Model runs have been integrated for greater than 2000 years at $1 \times$, $2 \times$, $4 \times$ and $6 \times$ pre-industrial $p$CO$_2$ levels (280 ppmv), providing a reasonable coverage of potential pre- and PETM conditions (range 280–1680 ppmv). A critical finding of this ensemble is that climate sensitivity is relatively constant over this range of $p$CO$_2$, at approximately $3.6^\circ$C (figure 5). This value can then be used to estimate the global
average temperature changes implied by the estimated PETM $p\text{CO}_2$ increases of Panchuk et al. (2008) and Zeebe et al. (2009) (figure 5); these are 5.5°C and 3°C, respectively.

Finally, we should consider the accuracy and implications of the available surface temperature proxy data. Although Zeebe et al. (2009) use the widely quoted range of PETM surface warming of 5–9°C, it is not clear how consistently these proxy data have been interpreted and how representative the specific palaeogeographic locations of these data are of global surface warming. Table 1 shows summary results of a new compilation of the available geochemical palaeotemperature proxy data (Dunkley Jones et al. in preparation), which employs a consistent methodology to obtain representative pre-PETM and peak-PETM mean values, as well as using a uniform set of palaeotemperature calculations and calibrations across the available sites. Given that much of these proxy data are subject to issues of stratigraphic completeness and preservational bias, which are discussed in detail in Dunkley Jones et al. (in preparation), there are only two sites that indicate warming in excess of 6°C, one from the shelf environment of Wilson Lake and the other from the Southern Ocean ODP Site 690. Although these are arguably two of the better-preserved PETM records, they are also in environments that may be subject to locally enhanced warming, owing either to shallow water depths or to high-latitude amplification of the PETM warming signal. Furthermore, particularly at ODP Site 690, there is a considerable range of variation between proxy

Figure 5. Mean annual surface air temperature as a function of CO$_2$ forcing across a suite of HadCM3L GCM simulations (Lunt et al. submitted). CO$_2$ forcing is expressed as log$_2$ of the CO$_2$ concentration normalized to the pre-industrial level of 280 ppmv. Also plotted are the $p\text{CO}_2$ perturbations of the best-fit carbon release scenarios of Panchuk et al. (2008) and Zeebe et al. (2009) and the associated estimates of mean annual surface air temperature anomaly predicted for these scenarios by the HadCM3L simulations.
Table 1. Comparison of proxy sea surface temperature estimates across the PETM. Both pre-PETM and peak-PETM temperature estimates based on average values over short stratigraphic intervals immediately before and at peak-PETM conditions. $\Delta T$ is the pre- to peak-PETM temperature anomaly based on these proxy estimates. Oxygen isotope temperature estimates are based on HadCM3L modelled $\delta^{18}O_{sw}$ values of Tindall et al. (in press); Mg/Ca temperature estimates assume a late Palaeocene seawater Mg/Ca ratio of 3.19.

<table>
<thead>
<tr>
<th>site</th>
<th>reference</th>
<th>proxy method</th>
<th>planktonic foraminifera species used</th>
<th>$T$ (°C) pre-PETM</th>
<th>$T$ (°C) peak-PETM</th>
<th>$\Delta T$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bass River</td>
<td>John et al. (2008)</td>
<td>$\delta^{18}O$</td>
<td>Acarinina spp.</td>
<td>27.4</td>
<td>30.4</td>
<td>2.9</td>
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<td>John et al. (2008)</td>
<td>$\delta^{18}O$</td>
<td>Subbotina spp.</td>
<td>26.5</td>
<td>30.2</td>
<td>3.7</td>
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<tr>
<td></td>
<td>Sluijs et al. (2007)</td>
<td>TEX$_{86}$</td>
<td>—</td>
<td>28.4</td>
<td>33.8</td>
<td>5.4</td>
</tr>
<tr>
<td>Wilson Lake</td>
<td>John et al. (2008)</td>
<td>$\delta^{18}O$</td>
<td>A. soldadoensis</td>
<td>24.0</td>
<td>32.5</td>
<td>8.5</td>
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<td>John et al. (2008)</td>
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<td>Subbotina spp.</td>
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<td>31.9</td>
<td>6.2</td>
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<td>Sluijs et al. (2007)</td>
<td>TEX$_{86}$</td>
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<td>26.7</td>
<td>34.2</td>
<td>7.5</td>
</tr>
<tr>
<td>DSDP 527</td>
<td>Tripati &amp; Elderfield (2004)</td>
<td>$\delta^{18}O$</td>
<td>A. soldadoensis</td>
<td>18.4</td>
<td>21.5</td>
<td>3.1</td>
</tr>
<tr>
<td></td>
<td>Tripati &amp; Elderfield (2004)</td>
<td>Mg/Ca</td>
<td>A. soldadoensis</td>
<td>$\sim$ 30</td>
<td>$\sim$ 32</td>
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<tr>
<td></td>
<td>Tripati &amp; Elderfield (2004)</td>
<td>Mg/Ca</td>
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<td>$\sim$ 33</td>
<td>$\sim$ 3</td>
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<tr>
<td></td>
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<td>Mg/Ca</td>
<td>Subbotina sp.</td>
<td>$\sim$ 29</td>
<td>$\sim$ 32</td>
<td>$\sim$ 3</td>
</tr>
<tr>
<td>ODP 690$^a$</td>
<td>Kennett &amp; Stott (1991)</td>
<td>$\delta^{18}O$</td>
<td>A. praepentacamera</td>
<td>13.3</td>
<td>18.4</td>
<td>5.1</td>
</tr>
<tr>
<td></td>
<td>Kennett &amp; Stott (1991)</td>
<td>$\delta^{18}O$</td>
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<td>16.2</td>
<td>5.7</td>
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<td>—</td>
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<td>10.3</td>
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<td>Kelly et al. (1998)</td>
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<td>$-$0.5</td>
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<tr>
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<td>Tripati &amp; Elderfield (2004)</td>
<td>Mg/Ca</td>
<td>A. soldadoensis</td>
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<td>33.3</td>
<td>1.6</td>
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<tr>
<td></td>
<td>Kelly et al. (1998)</td>
<td>$\delta^{18}O$</td>
<td>M. velascoensis</td>
<td>22.0</td>
<td>22.0</td>
<td>$-$0.0</td>
</tr>
<tr>
<td></td>
<td>Tripati &amp; Elderfield (2004)</td>
<td>Mg/Ca</td>
<td>M. velascoensis</td>
<td>31.5</td>
<td>32.8</td>
<td>1.3</td>
</tr>
<tr>
<td>ODP 1209</td>
<td>Zachos et al. (2003)</td>
<td>$\delta^{18}O$</td>
<td>A. soldadoensis</td>
<td>20.5</td>
<td>21.3</td>
<td>0.8</td>
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<tr>
<td></td>
<td>Zachos et al. (2003)</td>
<td>Mg/Ca</td>
<td>A. soldadoensis</td>
<td>30.3</td>
<td>34.1</td>
<td>3.9</td>
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<td>M. velascoensis</td>
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<td>TEX$_{86}$</td>
<td>—</td>
<td>18.6</td>
<td>22.4</td>
<td>3.9</td>
</tr>
</tbody>
</table>

$^a$In the data of Thomas et al. (2002) from ODP Site 690 in the Southern Ocean, there is a distinct two-step structure to intra-PETM oxygen isotope data; as a result the peak-PETM interval is analysed here in two sections: from 170.54 to 170.26 mbsf (‘base PETM’) and from 170.21 to 169.84 mbsf (‘top PETM’). For further details of all analyses, see Dunkley Jones et al. (in preparation).
measurements on different planktonic foraminifera species, with the average being approximately 6°C. It should be clear from the limited geographic spread, quality and quantity of available PETM palaeotemperature proxy data that we are some way from the reliable estimate of global mean surface warming necessary to constrain PETM climate sensitivity with confidence. At this stage, a conservative interpretation of the proxy data would indicate a pattern of global surface warming in the range of 5–6°C rather than the 5–9°C quoted by Zeebe et al. (2009). This is also consistent with available estimates of warming from the terrestrial record: 3–7°C from carbonate soil nodule δ18O (Bowen et al. 2001; Koch et al. 2003); 4–6°C from δ18O of biogenic phosphate (Fricke et al. 1998; Fricke & Wing 2004); and approximately 5°C from leaf margin analysis (Wing et al. 2005).

The challenge to our current understanding of climate sensitivity presented by Zeebe et al. (2009) thus comes from their estimate of the PETM carbon pulse size, which is at the lower range of the plausible carbon-cycle models of the PETM, combined with an interpretation of the temperature anomaly that leans towards the higher end of available proxy data. An alternative scenario, using the PETM carbon pulse modelled by Panchuk et al. (2008), together with a more conservative interpretation of the palaeotemperature proxy data as representing a 5–6°C warming, places the PETM climatic perturbation within the range of state-of-the art climate model simulations.

5. Concluding remarks

Although a great deal is now known about the rate, timings and global nature of the PETM event, critical uncertainties still remain about the cause of the carbon-cycle perturbation and the details of the climate system response. On consideration of the size and global expression of the negative CIE, it still appears likely that a large-scale release of methane hydrate contributed substantially to the massive input of carbon to the exogenic reservoir. With improved estimates of the mass of PETM carbon input, now within the range of approximately 4000–7000 PgC, it is difficult to ascribe all of this carbon to a methane hydrate source. Even in the modern system, the best estimates of the hydrate reservoir are approximately 500–2500 PgC (Milkov 2004), and in the significantly warmer Palaeogene world, the size of the hydrate stability zone should have been reduced not increased. A plausible scenario, consistent with proxy evidence for warming immediately prior to the onset of the CIE (Sluijs et al. 2007), is that a rapid CO2-driven warming—the cause of which is still unknown—subsequently triggered the large-scale dissociation of methane hydrates and the injection of massive quantities of light carbon into the ocean–atmosphere system. That methane hydrates may have operated as a positive feedback mechanism to rapid global warming, even in the warmer ocean/smaller hydrate reservoir Palaeogene world, leaves open the question of what role hydrate-bound carbon will play in the coming centuries of Earth history.

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