More than 15 years ago, a new approach to quantum mechanics was suggested, in which Hermiticity of the Hamiltonian was to be replaced by invariance under a discrete symmetry, the product of parity and time-reversal symmetry, $\mathcal{PT}$. It was shown that, if $\mathcal{PT}$ is unbroken, energies were, in fact, positive, and unitarity was satisfied. Since quantum mechanics is quantum field theory in one dimension—time—it was natural to extend this idea to higher-dimensional field theory, and in fact an apparently viable version of $\mathcal{PT}$-invariant quantum electrodynamics (QED) was proposed. However, it has proved difficult to establish that the unitarity of the scattering matrix, for example, the Källén spectral representation for the photon propagator, can be maintained in this theory. This has led to questions of whether, in fact, even quantum mechanical systems are consistent with probability conservation when Green’s functions are examined, since the latter have to possess physical requirements of analyticity. The status of $\mathcal{PT}$ QED will be reviewed in this paper, as well as the general issue of unitarity.

1. Introduction

In 1996, following a rather large number of precursors, it began to be recognized that perhaps the usual requirement of Hermiticity of the Hamiltonian was overly restrictive [1]. Theories described by Lagrangians such as

$$L = \frac{1}{2} \dot{x}^2 - \frac{1}{2} x^2 - igx^3 \quad (1.1)$$

were considered, which were suspected of having positive spectra in spite of the appearance of $i$ in the Lagrangian. This was established by numerical
calculations shortly thereafter [2] and proved in 2001 [3,4]. There remained the question of
unitarity or probability conservation, and that was established in the following year [5]. This
required the determination of a new operator $C$, in terms of which a new kind of $\mathcal{CPT}$ inner
product was defined. A method of constructing $C$ perturbatively was given by Bender et al. [6,7],
where the first extensions of these ideas to higher-dimensional field theory were presented.

Immediately, it was attempted to apply these ideas for a new type of field theory to the
simplest (and, by far, the most successful) gauge theory, quantum electrodynamics (QED) [8].
It was soon recognized, however, that this theory would not be renormalizable because of
anomalies (the electric current was an axial vector); but then another version of $\mathcal{PT}$ QED was
proposed [9] with an ordinary vector current interaction, but with anomalous transformation
properties under parity.1 The $C$ operator, in lowest order, was constructed the following year [11],
which should guarantee the unitarity of the theory. However, that has proved extraordinarily
difficult to establish [12].

In this paper, we review the construction of $\mathcal{PT}$ QED in §2. Then, in §3, we give the
construction of the $C$ operator. In §4, we give the leading term in the equivalent Hermitian
Hamiltonian, constructed through this $C$ operator. This Hamiltonian is then used to compute,
in agreement with the $\mathcal{PT}$ Hamiltonian, the effective magnetic moment coupling expected from
Dirac theory, but it is noted that this Hermitian Hamiltonian is extremely cumbersome in practice.
In §5, perturbation theory is used to compute the lowest-order vacuum polarization operator,
which fails to exhibit the required Källén analyticity. That this seems a general difficulty is
exhibited in §6, where the $\mathcal{PT}$-symmetric cubic anharmonic oscillator is considered, and shown
to exhibit a failure of unitarity. The conclusion summarizes the status of our understanding of
these difficulties.

2. $\mathcal{PT}$-symmetric quantum electrodynamics

At the first International Workshop on Pseudo-Hermitian Hamiltonians in Quantum Physics
(PHHQP), a $\mathcal{PT}$-symmetric version of QED was proposed [9]. A non-Hermitian but $\mathcal{PT}$-
symmetric electrodynamics is based on the assumption of novel transformation properties of the
electromagnetic fields under parity transformations. That is, we assert that

$$\mathcal{P} : E(x,t) \rightarrow E(-x,t), \quad B(x,t) \rightarrow -B(-x,t),$$
$$A(x,t) \rightarrow A(-x,t) \quad \text{and} \quad A^0(x,t) \rightarrow -A^0(-x,t),$$

which is just the statement that the four-vector potential is assumed to transform as an axial
vector. Under time reversal, the transformations are assumed to be conventional,

$$\mathcal{T} : E(x,t) \rightarrow E(x,-t), \quad B(x,t) \rightarrow -B(x,-t),$$
$$A(x,t) \rightarrow -A(x,-t) \quad \text{and} \quad A^0(x,t) \rightarrow A^0(x,-t).$$

Fermion fields are assumed to transform conventionally. This was discussed in detail at the
London and Hangzhou PHHQP workshops [12].

The Lagrangian of the theory then possesses an imaginary coupling constant in order that it
be invariant under the product of these two symmetries:

$$\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \bar{\psi} \gamma^\mu \frac{1}{4} \partial_\mu \psi - m \bar{\psi} \psi + i e \bar{\psi} \gamma^\mu A_\mu.$$  (2.3)

1This was true parity, not ‘intrinsic parity’ as suggested by Bender et al. [10].
In the radiation (Coulomb) gauge $\nabla \cdot A = 0$, the dynamical variables are $A$ and $\psi$, and the canonical momenta are $\pi_A = -E^T$, $\pi_\psi = i\bar{\psi}$. Here, superscript $T$ denotes the transverse part, $\nabla \cdot E^T = 0$. The corresponding Hamiltonian density is

$$H = \frac{1}{2}(E^2 + B^2) + \bar{\psi} \left[ \gamma^k \left( \frac{1}{i} \nabla_k + ieA_k \right) + m \right] \psi. \tag{2.4}$$

The electric current appearing in both the Lagrangian and the Hamiltonian densities, $j^\mu = \bar{\psi} \gamma^\mu \gamma^0 \psi$, transforms conventionally under both $P$ and $T$:

$$P j^\mu(x, t) P = \left( \begin{array}{c} 0 \\ j^\mu \end{array} \right)(-x, t) \tag{2.5a}$$

and

$$T j^\mu(x, t) T = \left( \begin{array}{c} 0 \\ j^\mu \end{array} \right)(x, -t). \tag{2.5b}$$

Since we are working in the Coulomb gauge, $\nabla \cdot A = 0$, the non-zero canonical equal-time commutation relations are

$$\{\psi_a(x, t), \psi_b^\dagger(y, t)\} = \delta_{ab} \delta(x - y) \tag{2.6a}$$

and

$$[A^T_i(x), E^T_j(y)] = -i \left[ \delta_{ij} - \frac{\nabla_i \nabla_j}{\nabla^2} \right] \delta(x - y). \tag{2.6b}$$

We will implicitly assume in the following that the electric field is transverse.

3. The $C$ operator

As for quantum mechanical systems, and for scalar quantum field theory [6,7], to define a positive norm, we seek a $C$ operator in the form

$$C = e^{Q P}, \tag{3.1}$$

where $P$ is the parity operator. The operator $C$ must satisfy the properties

$$C^2 = 1, \tag{3.2a}$$

$$[C, P T] = 0 \tag{3.2b}$$

and

$$[C, H] = 0. \tag{3.2c}$$

From the first two equations, we infer that

$$Q = -P Q P, \tag{3.3a}$$

and because $P T = T P$, we have

$$Q = -T Q T. \tag{3.3b}$$

Equation (3.2c) allows us to determine $Q$ perturbatively. If we separate the interaction part of the Hamiltonian from the free part,

$$H = H_0 + eH_1, \tag{3.4}$$

and assume a perturbative expansion of $Q$,

$$Q = eQ_1 + e^2 Q_2 + \cdots, \tag{3.5}$$
the first contribution to the $Q$ operator is determined by
\[ [Q_1, H_0] = 2H_1. \] (3.6)
The second correction commutes with the Hamiltonian,
\[ [Q_2, H_0] = 0. \] (3.7)
Thus, we may take
\[ Q = eQ_1 + e^3Q_3 + \cdots, \] (3.8)
which illustrates a virtue of the exponential form. The $Q_3$ term is constrained by
\[ [Q_3, H_0] = \frac{i}{6}[[H_1, Q_1], Q_1]. \] (3.9)

For $\mathcal{P}\mathcal{T}$ QED, the interaction term in the Hamiltonian is simply the standard QED interaction term multiplied by $i$,
\[ H_1 = i \int d^3x A_\mu(x) \psi^+(x) \gamma^\mu \psi(x). \] (4.1)
The $Q_1$ operator was calculated in [11] ($p + q + r = 0$),
\[ Q_1 = \int \frac{d^3p d^3q}{(2\pi)^6} \left\{ \begin{array}{c} E(-p) B(-p) \end{array} \right\} \psi^+(q) \Gamma^i_E(p, t) \psi(-r), \] (3.11)
where, with $t = r - q$, $k = p \times t$ and $\Delta = 4m^2p^2 + k^2$,
\[ \Gamma_E(p, t) = \frac{2}{\Delta} \left[ -ik\gamma_5 - 2im\gamma_5 \gamma \cdot p - \frac{(p \times k)}{p^2} \gamma^0 \gamma \cdot t + \frac{2m}{p^2} \gamma^0 \gamma \cdot (pp + k) \right]. \] (3.12a)
\[ \Gamma_B(p, t) = \frac{2}{\Delta} \left[ -2m \gamma \cdot p + \frac{p \cdot t}{p^2} k + \frac{i}{p^2} \gamma^0 \gamma_5 p \cdot k \right]. \] (3.12b)

4. The Hermitian Hamiltonian
Mostafazadeh [13–16] has shown that a $\mathcal{P}\mathcal{T}$ theory is equivalent to a Hermitian theory through a similarity transformation. For the case where the inner product is constructed with the $\bar{C}$ operator, with the construction (3.1), the similarity transformation can be given by
\[ h = e^{-Q/2} H e^{Q/2}. \] (4.1)
Here, $Q$ has a perturbative structure in odd powers of $e$, as seen in equation (3.8). Using the $Q_1$ operator given in equation (3.11), we can write the equivalent Hermitian Hamiltonian as
\[ h = H_0 + \frac{e^2}{4} [H_1, Q_1] + \mathcal{O}(e^4). \] (4.2)
Thus, carrying out the commutations, we find the leading term in the equivalent Hermitian Hamiltonian is given by the following non-local expression:
\[ h_{\text{int}} = \frac{e^2}{4} [H_1, Q_1] = \frac{e^2}{4} \int \frac{d^3p' d^3q' d^3r d^3p d^3q d^3r}{(2\pi)^9} \left\{ \begin{array}{c} \delta(p' + q' + r) \delta(p + q + r) \\
- i\delta(p - p') \psi^+(-q') \gamma^0 \gamma^1 \psi(r') \Gamma^i_E(p, t) \psi(-r) \\
+ \delta(r' - q) E^i(-p) A^m(p') \psi^+(-q') \gamma^0 \gamma^m \Gamma^i_E(p, t) \psi(-r) \\
- \delta(r - q') E^i(-p) A^m(p') \psi^+(-q') \gamma^0 \gamma^m \Gamma^i_E(p, t) \psi(-r) \\
+ \delta(r' - q) B^i(-p) A^m(p') \psi^+(-q') \gamma^0 \gamma^m \Gamma^i_E(p, t) \psi(-r) \\
- \delta(r - q') B^i(-p) A^m(p') \psi^+(-q') \gamma^0 \gamma^m \Gamma^i_E(p, t) \psi(-r) \end{array} \right\}. \] (4.3)
When one uses the Hermitian Hamiltonian, one also needs to shift the fields:

\[ a = e^{-Q/2}A e^{Q/2} \approx A - \frac{e}{2} [Q_1, A] + \cdots, \]  

which gives rise to additional fermion-loop graphs.

In fact, it is just from the shift in the field that the Dirac magnetic moment makes its appearance. In the \( \mathcal{PT} \)-symmetric Dirac equation, the latter comes from

\[
(m - \gamma \cdot \Pi)(m + \gamma \cdot \Pi) = \Pi^2 + m^2 - \frac{i e}{2} \sigma^{\mu\nu} F_{\mu\nu}
\]  

which implies

\[
E^2 = \Pi^2 + m^2 - i e \sigma \cdot B.
\]  

The shift in \( A \) from equation (3.11) is seen to be

\[
\delta A(p) = -\frac{i e}{2} \int (dr) \psi^\dagger(r) \Gamma_E(-p) \psi(r-p).
\]

This changes the magnetic energy density in \( \mathcal{H}_0 = \frac{1}{2}(E^2 + B^2) \),

\[
\delta \mathcal{H}_0(y) = -2m ie B \cdot \int (dx)(dz) \psi^\dagger(x) \int \frac{(dp)}{(2\pi)^3} e^{ip(x+y)}
\]

\[
\times \int \frac{(dr)}{(2\pi)^3} e^{ir(x-z)} \frac{i\gamma_5 p \times (\gamma \times p)}{4m^2 p^2 + (p + r)^2} \psi(z).
\]

Using transversality and the static approximation \( |r| \ll m \), we obtain the Dirac moment

\[
\delta \mathcal{H}_0 \rightarrow -\frac{i e}{2m} \psi^\dagger \gamma^0 \sigma \cdot B \psi,
\]

which has the extra factor of \( i \) seen in equation (4.5b). This itself suggests a problem with the reality of the spectrum of the Dirac electron in an external ‘magnetic’ field.

Unfortunately, to calculate the Schwinger correction to \( g - 2 \) we would have to work out \( Q_3 \)! It is much harder to do calculations with the ‘Hermitian’ theory.

### 5. Vacuum polarization

Let us calculate the correction to the photon propagator in the \( \mathcal{PT} \) theory (elsewhere, we will present calculations in the equivalent Hermitian theory). In the former, the polarization operator is given by the graph shown in figure 1.

\[
\int \frac{(dk)}{(2\pi)^4} \frac{1}{2} A_\mu(-k) A_\nu(k) \Pi^{\mu\nu}(k),
\]

in terms of the polarization operator

\[
\Pi^{\mu\nu}(k) = e^2 \int \frac{(dp)}{(2\pi)^4} \text{Tr} \frac{1}{\gamma p + m} \gamma^\mu \frac{1}{\gamma(p-k) + m} \gamma^\nu,
\]

which is opposite in sign to the usual correction.
This leads by any of various standard methods to the following gauge-invariant form for the corrected renormalized photon propagator ($\alpha = e^2/\hbar c$):

$$\tilde{D}_+(k) = \frac{1}{k^2 - i\epsilon} - \frac{\alpha}{3\pi} \int_0^\infty \frac{dM^2}{M^2} \sqrt{1 - \frac{4m^2}{M^2}} \left( 1 + \frac{2m^2}{M^2} \right) \frac{1}{k^2 + M^2 - i\epsilon}. \tag{5.3}$$

This exhibits a problem with unitarity, because the sign of the imaginary part is reversed. That is, on general grounds [17, 18] we should be able to write the full photon propagator (two-point function) as

$$\tilde{D}_+(k) = \frac{1}{k^2 - i\epsilon} + \int_0^\infty \frac{dM^2}{M^2} \frac{a(M^2)}{k^2 + M^2 - i\epsilon}, \tag{5.4}$$

where the spectral function $a(M^2)$ should be positive, since it corresponds, in lowest order, to the production rate for electron–positron pairs. In other words, the generating function for quantum field theory is the vacuum-to-vacuum persistence amplitude, which reads for the two-point function

$$\langle 0_+ | 0_- \rangle^J = \exp \left[ \frac{i}{2} \int \frac{d^4k}{(2\pi)^4} J^\mu(-k) \tilde{D}_+(k) J^\mu(k) \right]. \tag{5.5}$$

The probability requirement is [19]

$$\langle 0_+ | 0_- \rangle^J \leq 1 \Rightarrow \text{Im} \tilde{D}_+(k) \geq 0, \tag{5.6}$$

which uses $k^\mu J_\mu(k) = 0$. This requirement is violated when, as here, $\alpha \to -\alpha$ as compared to the conventional theory.

### 6. Generic unitarity problem

This unitarity problem seems to be generic in any quantum theory in the $\mathcal{P}\mathcal{T}$-framework. The free harmonic oscillator, with Lagrangian

$$L_0 = \frac{1}{2} \dot{x}^2 - \frac{1}{2} x^2, \tag{6.1}$$

is described by the vacuum persistence amplitude (generating function)

$$Z_0[K] = \langle 0_+ | 0_- \rangle^K = e^{(i/2) \int \! dt \! d't K(t) (t-t') \Delta_+(t-t') K(t')}, \tag{6.2}$$

in terms of a real source function $K$, with the free causal Green’s function

$$\Delta_+(t-t') = \int \frac{dp}{2\pi} \frac{e^{ip(t-t')}}{p^2 + 1 - i\epsilon} = \frac{i}{2} e^{-i|t-t'|}. \tag{6.3}$$

In terms of the Fourier transform of the source,

$$\tilde{K}(p) = \int \! dt \, e^{-ipt} K(t), \tag{6.4}$$

the generating function is

$$\langle 0_+ | 0_- \rangle^K = \exp \left( \frac{i}{2} \int \frac{dp}{2\pi} \frac{|	ilde{K}(p)|^2}{1 - p^2 - i\epsilon} \right), \tag{6.5}$$

so the probability requirement

$$\langle 0_+ | 0_- \rangle^K \leq 1 \Rightarrow \text{Im} \Delta_+(p) \geq 0 \tag{6.6}$$

is satisfied:

$$||0_+ | 0_- \rangle^K|^2 = \exp(-\frac{1}{2} |	ilde{K}(1)|^2). \tag{6.7}$$

The same result is also obtained by using the coordinate-space propagator (6.3).
However, with a $\mathcal{PT}$-symmetric interaction (1.1), the graph shown in figure 2 has the wrong sign for the residue of the pole, as we see by writing the mass operator,

$$
\Sigma(p) = -\frac{i(6ig)^2}{2} \int \frac{dl}{2\pi} \frac{1}{l^2 + 1 - i\epsilon} \frac{1}{-(l-p)^2 + 1 - i\epsilon} = -\frac{18g^2}{4 - p^2 - i\epsilon},
$$

simply evaluating the integral using the residue theorem. Note that, in carrying out perturbative calculations, the $\mathcal{C}$ operator, ostensibly necessary for unitarity, does not make any explicit appearance. From the mass operator, the corrected propagator is calculated from

$$
\tilde{\Delta}(p) = \frac{1}{-p^2 + 1 - i\epsilon} + \frac{1}{-p^2 + 1 - i\epsilon} \Sigma(p) \frac{1}{-p^2 + 1 - i\epsilon},
$$

for which the residue of the pole at $p^2 = 4$ is $2g^2 (= 18g^2/9)$.

In ordinary quantum mechanics, the analogue of the Källén–Lehman spectral representation for the two-point function [20,21] is, in Minkowskian momentum space, for a system having only discrete energy states, with energies $E_n$ and eigenvectors $|n\rangle$, given by [22]

$$
\Delta(p) = \sum_{n=1}^{\infty} \frac{Z_n}{M_n^2 - p^2 - i\epsilon},
$$

where the spectral masses are

$$
M_n = E_n - E_0,
$$

the energy differences from the ground state, and the residues of the poles, the ‘wave function renormalization constants’, are given by

$$
Z_n = 2M_n|\langle 0|\psi(x)|n\rangle|^2,
$$

which are necessarily non-negative. The $Z_n$ satisfy a sum rule,

$$
\sum_{n=1}^{\infty} Z_n = 1.
$$

To apply this theorem to $\mathcal{PT}$ theories, we must remove the absolute value sign in the expression for $Z_n$ (which can also be done in the conventional theory if real wave functions are understood), and write the matrix element there as an integral that may be extended appropriately into the complex plane:

$$
Z_n = 2M_n(-1)^n \left[ \int dx \psi_0(x)\psi_n(x) \right]^2,
$$

where it is assumed that the wave functions are normalized,

$$
\int dx \psi_0^2(x) = 1 \quad \text{and} \quad \int dx \psi_n^2(x) = (-1)^n.
$$

(It was the indefiniteness of the latter that necessitated the introduction of the $\mathcal{C}$ operator.)

In Bender et al. [22], the $\pm g x^4$ theories were examined, and it was shown that in both cases the sum rule (6.13) was satisfied. Perturbatively, the $Z_{2n+1}$ residues were all positive, while the $Z_{2n}$ terms vanished (by parity), and, for example, the leading contribution to $Z_3$ is

$$
Z_3 = \frac{9}{8}g^2 + O(g^3).
$$
This corresponds to the Feynman graph with three internal lines, hence a zeroth-order mass $M_3 = 3$. The vanishing of the $Z_{2n}$, however, was not true for larger $g$, and indeed numerically it was found that the $Z_{2n}$ were substantial and negative. See also the appendix of Bender & Mannheim [23].

Here, we have examined the $igx^3$ theory. We find, in agreement with the Feynman diagram calculation (6.8), that

$$Z_2 = -2g^2 + O(g^3).$$

(6.17)

The negative sign here, and in equation (6.8), indicates, apparently, a breakdown of perturbative unitarity.

Let us supply details of the latter calculation, which exhibits some interesting subtleties. The spectral residue for the two-point function is given by, in Dirac notation,

$$Z_2 = 2Mn(0|\tilde{x}|2\rangle \langle 2|\tilde{x}|0\rangle,$$

(6.18)

where the states refer to the $\mathcal{P}\mathcal{T}$ Hamiltonian

$$H = H_0 + igH_1, \quad H_0 = \frac{1}{2}p^2 + \frac{1}{2}x^2 \quad \text{and} \quad H_1 = x^3.$$  

(6.19)

It is convenient to transform to the corresponding Hermitian theory [24],

$$\tilde{H} = e^{-Q/2}He^{Q/2}, \quad \text{where} \quad Q = -2g(\frac{2}{3}p^3 + px^2 + xpx + x^2p) + O(g^3).$$

(6.20)

So the spectral residue is

$$Z_2 = 2M_2(\tilde{0}|\tilde{x}|\tilde{2}\rangle \langle 2|\tilde{x}|0\rangle,$$

(6.21)

in terms of the Hermitian states and operators, where [24]

$$\tilde{x} = e^{-Q/2}xe^{Q/2} = x - ig(x^2 + 2p^2) + O(g^2).$$

(6.22)

Now the Hermitian Hamiltonian, given in [24], is even in the Hermitian operators $x$ and $p$, although for the purposes here we only need to note that

$$\tilde{H} = H_0 + O(g^2),$$

(6.23)

so the matrix element $\langle \tilde{0}|\tilde{x}|\tilde{2}\rangle$ vanishes. Then the required matrix elements are

$$\langle \tilde{0}|\tilde{x}|\tilde{2}\rangle = -ig\langle \tilde{0}|(x^2 + 2p^2)|\tilde{2}\rangle = \frac{ig}{2}\langle \tilde{0}|a^2|\tilde{2}\rangle = \frac{ig}{\sqrt{2}} = \langle \tilde{2}|\tilde{x}|0\rangle,$$

(6.24)

where $a$ is the annihilation operator. When this is inserted into equation (6.21), we obtain the result (6.17). The same result, of course, can be obtained in the $\mathcal{P}\mathcal{T}$ theory, where the result emerges from the first-order perturbative corrections to the states. We have also redone the Feynman diagram calculation, leading to the result (6.8), using the Hermitian theory, where the Feynman rules are obtained by using the shifted operator (6.22). The result, obtained in either coordinate or momentum space, is identical to that found in equation (6.8).

7. Conclusions

Schwinger believed that Green’s functions that defined a quantum theory are properly defined only in the ‘attached Euclidean space’ [25]. This defines where the singularities in Green’s functions must lie in order that one can perform a ‘Euclidean rotation’. Such a requirement may pose an insuperable barrier to the construction of a consistent quantum field theory based on a $\mathcal{P}\mathcal{T}$-symmetric Lagrangian.

The above calculations were perturbative, and perhaps there are non-perturbative contributions that save unitarity. Evidence against this comes from the Schwinger model, two-dimensional massless QED. The Schwinger model may be solved exactly, and exhibits a violation of unitarity, as was discussed in detail in [12].
Thus, it appears that there are problems with unitarity not only in \((\mathcal{P}\mathcal{T}\text{QED})^2\), but also in any quantum mechanical system. Analytic properties required by the probability considerations and the Euclidean postulate seem to be generically violated. In one, two and four dimensions, the analyticity requirements (for example, the Källén spectral representation for the two-point function) appear to be violated. And perturbation theory, where the \(\mathcal{C}\) fails to make any appearance [24], evidently does not give a positive spectrum to the massless \(\mathcal{P}\mathcal{T}\)-symmetric electrodynamics in two dimensions. We are trying to clarify the issue by comparison with the equivalent Hermitian Hamiltonian. There, too, positivity of the spectral function is not assured, because of the necessity of shifting the field in passing to that alternative description.

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